## Study of Cuprous Oxide formed in Air at 0.5 mm of Hg between 200° C and 1030° C and its rectification

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Oxides of copper formed at an air pressure 0.5 mm of Hg in the temperature range  $200^{\circ}$  C to  $1030^{\circ}$  C and for a time of formation of one hour have been studied. Their composition structure by the method of electron diffraction and X-ray reflection, rectification, and magnetic susceptibility — all these as a function of the subsequent heat treatment and the thickness of the film — have been investigated. The films formed at  $500^{\circ}$  C just begin to show rectification, it becomes appreciable at  $800^{\circ}$  C and then increases rapidly with the temperature of formation of the film. The rectifying films are all Cu<sub>2</sub>O films but with a peculiar structure. The appearance of an appreciable amount of rectification is accompanied by changes in the surface structure, body structure and the susceptibility of the films. For a rectifying film the surface layer consists of large Cu<sub>2</sub>O crystals showing 111 orientation. Below this small crystallites of Cu<sub>2</sub>O, including an excess of oxygen, and showing a zinc blende structure, are present. The effect of the subsequent heat treatment is to reduce the amount of oxygen and increase the diamagnetic susceptibility. A maximum rectification is obtained, when the Cu<sub>2</sub>O crystallites are fairly small and contain on an average an excess of one atom of oxygen per crystallite. A plausible mechanism for the changes is suggested.

We have already reported the properties of oxides of copper formed at atmospheric pressure <sup>1</sup> and also at low pressures <sup>2</sup>. In the present work the oxides of copper formed at an air pressure 0.5 mm of Hg in the temperature range 200° C to 1030° C and for a time of formation of one hour have been studied. Their composition structure by the method of electron diffraction and X-ray reflection, rectification and magnetic susceptibility – all these as a function of the subsequent heat treatment and the thickness of the film – have been investigated.

## **Experimental and Results**

The copper blocks (except those used for magnetic susceptibility measurements) were prepared and oxidis-

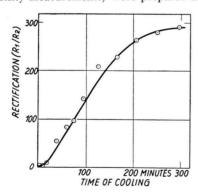


Fig. 1. Shows the manner in which the rectification  $R_1/R_2$  changes as a function of the time of cooling in the temperature range  $1030^{\circ}$  C to  $200^{\circ}$  C.

ed at a given temperature in the same manner as described earlier  $^2$ . In the first series the oxide was formed by keeping the block at a temperature of  $1030^{\circ}$  C for 60 minutes in air at a pressure of 0.5 mm of Hg. The blocks of oxide so formed were subsequently cooled in vacuum from  $1030^{\circ}$  C to  $200^{\circ}$  C in different intervals of time; and their resistances  $R_1$  and  $R_2$  in the two directions and rectification was determined (see Fig. 1 and 2). In the second series of experiments the oxide

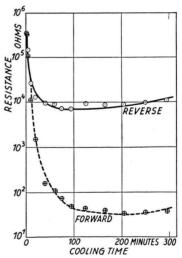


Fig. 2. Shows the manner in which the resistances  $R_1$  and  $R_2$  measured at 1.5 volts (D.C.) change as a function of the time of cooling in the temperature range  $1030^{\circ}$  C to  $200^{\circ}$  C.

- <sup>1</sup> K. R. Dixit and V. V. Agashe, Z. Naturforschg. 10 a, 152
- <sup>2</sup> K. R. Dixit and V. V. Agashe, Z. Naturforschg. 11 a, 41 [1956].



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was formed on blocks kept at various temperatures in the range  $200^{\circ}$  C to  $1030^{\circ}$  C, and at each temperature air at a pressure of 0.5 mm of Hg was allowed to remain in contact with the blocks for 60 minutes. The oxide blocks formed at each temperature were divided into two groups. One group was suddenly cooled  $(500^{\circ}$  C/min) and the other was slowly cooled  $(10^{\circ}$  C per min) in vacuum. The resistance, rectification, the growth of oxide and its crystal structure (by the method of weighing on a microbalance combined with electron reflection) was determined as before. This time

cynide. The copper rod (without the oxide film) was also weighed with and without the magnetic field. These four weights, together with the linear dimensions of the block, enable us to determine the weight of the oxide film and its susceptibility. Further if we assume that the film contains Cu<sub>2</sub>O and traces of oxygen in solid solution and that the susceptibility of the solid solution is the sum of the susceptibilities <sup>3</sup> of the two components. It will be

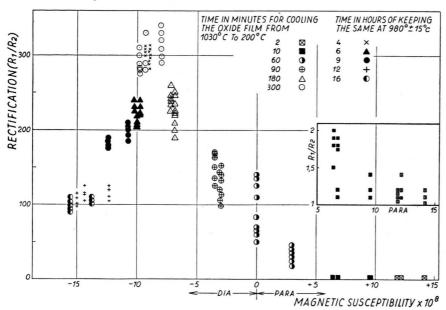


Fig. 3. Shows the relation between the magnetic susceptibility and the rectification for the Cu<sub>2</sub>O films prepared at 1030° C followed by different heat treatments.

the structure of the films was studied in addition by X-ray reflection. For comparison X-ray photographs of chemically pure  $\text{Cu}_2\text{O}$  were also taken. The results are shown in Tab. 1 and 2. The average rectifier formed at  $1030^{\circ}$  C is about 90 microns thick. The manner in which its structure changes with depth is shown in Tab. 3.

The magnetic susceptibility was determined by a Guov balance, with an accuracy in weight determination of 0.01 mg. The rods were rectangular cylinders  $(75 \text{ mm} \times 5 \text{ mm} \times 4 \text{ mm})$  prepared by melting electrolytic copper in vacuum. The oxide layer was formed on these rods at the given temperature, pressure and time. The oxide formed on the two smallest faces and the surface in contact with the holder was removed. The oxide block so prepared, with the oxide layer on three faces, was weighed with and without the magnetic field (6500 Gauss). The oxide film present on the three faces was now removed by slow etching with sodium

<sup>3</sup> W. Klemm and W. Schüth, Z. Anorg. Chem. 203, 104 [1932].

possible for us to calculate the amount of oxygen present per 100 molecules of Cu<sub>2</sub>O (vide Fig. 4).

The susceptibility measurements were carried out with the two series of oxides already mentioned. In addition they were carried out with blocks prepared at 1030° C, 0.5 mm Hg air pressure and 60 minutes but subjected to subsequent heat treatment. The oxide layer was cooled from 1030° C to 980° C in 18 minutes, it was then kept at this temperature 980° C for various intervals of time (4, 6, 9, 12, 16 and 36 hours); it was subsequently cooled from 980° C to 200° C in 282 minutes. This procedure enabled us to have films with a reduced content of oxygen, increased diamagnetic susceptibility (see Fig. 3 and 4). Fig. 3 shows that the suddenly cooled films are paramagnetic. Heat treatment in the form of slow cooling ejects oxygen atoms making the film less and less paramagnetic and that the maximum rectification (about 300) occurs in a given range of diamagnetic susceptibility (about minus 11 to  $7 \cdot 10^{-8}$ ) or a given oxygen content.

	Weight	Thickness		tance of			Electron	Reflection	X-ray
Temp.		of oxide films in microns	Sudde			owly oled	Topmost layer of suddenly cooled	At a depth of 1000 Å for both, suddenly and	Reflection Suddenly and slowly cooled Cu <sub>2</sub> O
			$R_1$	$R_{1}/R_{2}$	$R_1$	$R_1/R_2$	$\mathrm{Cu_2O}$	$\begin{array}{c} {\rm slowly\ cooled} \\ {\rm Cu_2O} \end{array}$	2
200	0.015	0.025		_		_	(110)	_	_
250	0.035	0.058				-	(110)	_	
300	0.075	0.125	_	-	-	-	(110 & Traces of 111)	_	_
350	0.186	0.310	9	1	9	1	(110 & 111)	_	_
400	0.277	0.462	12	1	12	1	(110 & 111)	_ *	_
500	0.877	1.46	25	1	22	1.37	(110 & 111)	Normal Cu <sub>2</sub> O rings (F.C.)	_
550	1.58	2.63	30	1	25	1.25	(110 & 111)	Normal Cu <sub>2</sub> O rings (F.C.)	_
600	2.55	4.25	110	1.57	37	1.37	Traces of orientation	Normal Cu <sub>2</sub> O rings (F.C.)	Normal $Cu_2O$
650	6.015	10.0	400	2	52	1.53	(111) and spots on rings	Normal Cu <sub>2</sub> O rings (F.C.)	Normal Cu₂O
700	8.5	14.2	2000	1.19	80	2.1	,,	222 broad & very strong at centre	Normal $Cu_2O$
• 800	14.52	24.2	10000	2	400	10.8	,,	222 broad & very strong at centre	200 weak
900	19.22	32.0	42 000	1.4	1250	30.5	,,	4 arc pattern	220 stronger & 200 nearly absent
						,	Weak (111)	*	absent
950	26.69	44.5	72000	1.3	3360	54.2	& strong spots on rings	4 arc pattern	,,
1000	38.65	64.4	100000	1.1	6600	75	,,	4 arc pattern	,,
1030	55.69	92.8	150000	1.1	8080	94	,,	4 arc pattern	,,

 $(h \ k \ l)$  denote preferred orientation h, k, l.

Table 1. Properties of the oxide formed in air at a pressure of 0.5 mm of Hg for 60 minutes.

		Intensity of X-ray reflection						Resistance			
Specimen	Treatment	110	111	200	211	220	311	222	$\begin{array}{c} R_1 \\ \text{ohms} \end{array}$	$\begin{array}{ c c }\hline R_2\\ \text{ohms}\\ \end{array}$	$R_1/R_1$
Pure Cu <sub>2</sub> O	Prepared with a little										
Tablet Cu <sub>2</sub> O formed	gum tragacanth Slowly cooled in	20	100	61	(<5)	32	25	5	_	-	_
at $550^{\circ}$ C	vacuum	20	100	60	(<5)	31	25	5	22	11	2
700° C	Slowly cooled in vacuum	20	100	61	(<5)	33	26	3	80	38	2.1
900° C	Slowly cooled in	15	100	(<5)	, , ,	50	30	5	1250	42	30.5
1030° C	vacuum Slowly cooled in	19	100	(< 3)	(<5)	90		9		42	
1030° C	vacuum Suddenly cooled in	13	100	(<5)	8	50	35	(<5)	6600	88	75
1030 C	vacuum	20	100	(<5)	8	50	35	(<5)	$(\sim 10^5)$	$(10^5)$	1.1
Diamond	A. W. Hull, Phys.										
m: 11 1	Rev., 10, 66 [1917]	0	100	0.5	0	50	40	0			
Zinc blende	W. GERLACH, Phys. Z. 23, 114 [1922]	0	V, S	W	0	s	S	w			

Table 2. The relative changes in X-ray reflection intensities.

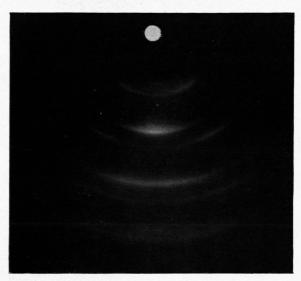


Fig. 5. Shows oriented rings of  $\text{Cu}_2\text{O}$  with 110 orientation. The rings strong at the centre are  $\sqrt{2}$  (near the shadow edge),  $\sqrt{8}$  and  $\sqrt{18}$ .

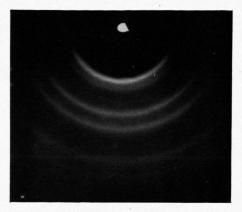


Fig. 7. Shows the rings of normal Cu<sub>2</sub>O. They give the impression of a face centred configuration. The following rings are seen.  $\sqrt{3}$ ,  $\sqrt{4}$ ,  $\sqrt{8}$ ,  $\sqrt{11}$   $\sqrt{12}$ ,  $\sqrt{19}$   $\sqrt{20}$  and  $\sqrt{24}$ .

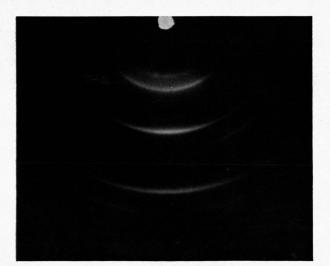


Fig. 6. Shows oriented rings of  $\text{Cu}_2\text{O}$  with a double orientation 110 and 111. The rings strong at the centre are  $\sqrt{2}$ ,  $\sqrt{3}$ ,  $\sqrt{8}$  and  $\sqrt{18}$ .



Fig. 8. Shows rings of  $Cu_2O$  with spots on them. The signs of 111 orientation ( $\sqrt{3}$  strong at the centre and  $\sqrt{4}$  weak at the centre) are still there.

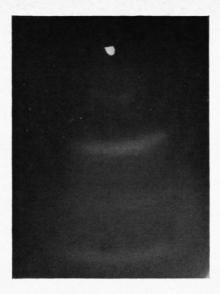


Fig. 9. Shows the four arc pattern, which approximately corresponds (vide Tab. 4) to 111, 222, 333 and 444 arcs of  $\mathrm{Cu_2O}$ .

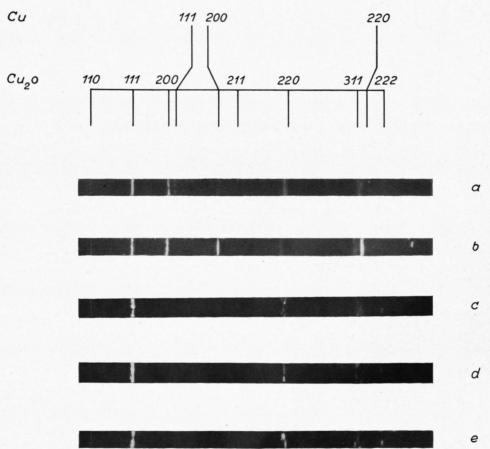


Fig. 10. Shows X-ray reflection from: (a)  $Cu_2O$  powder with small copper impurity. (b)  $Cu_2O$  film formed at  $700^{\circ}$  C, 0.5 mm Hg air pressure and slowly cooled. (c)  $Cu_2O$  film formed at  $900^{\circ}$  C at the same pressure and slowly cooled. (d)  $Cu_2O$  film formed at  $1030^{\circ}$  C at the same pressure but suddenly cooled.

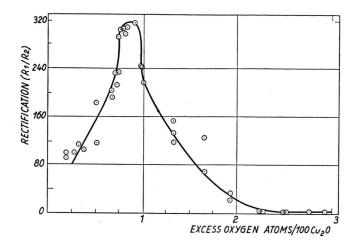


Fig. 4. Shows the relation between the rectification and the percentage excess of oxygen atoms present for all films in Fig. 3.

## Discussion

It will be seen from Tab. 1 and 2, that the films formed at 500° C just begin to show rectification, it becomes appreciable at 800° C and then increases rapidly with the temperature of formation of the film. The rectifying films are all Cu<sub>2</sub>O films but with a peculiar structure. The appearance of an appreciable amount of rectification is accompanied by changes in the surface structure and the body structure of the film. For a rectifying film the surface layer consists of large Cu<sub>2</sub>O crystals showing 111 orientation. Below this small crystallites of Cu<sub>2</sub>O including an excess of oxygen and showing a zinc blende structure are present. The changes in structure are indicated in the X-ray picture by an increase in the intensity of the 220 ring and a decrease in the intensity of the 200 ring. In the arrangement used by us 311 and 222 are rather broad and these lines do not definitely tell us whether the change in intensity is due to a change in the orientation or structure. This was settled by taking a few back reflection photographs, and studying rings of higher orders like  $\sqrt{24}$ ,  $\sqrt{27}$ . They show that the change in the intensity is due to a change in the crystal structure. The electron reflection from the surface of the film shows oriented rings of Cu<sub>2</sub>O with 111 orientation and spots. The electron picture of the layer at a depth of 1000 Å (and lower) shows four broad rings which are really arcs, 30° wide and strong at the centre (Fig. 9) \*. This indicates (Tab. 4 and 5) that a large number of small crystallites of Cu<sub>2</sub>O are so oriented that the 111 face is parallel to the substrate. Normally with such an orientation (111 of Cu<sub>2</sub>O) many other rings are

Depth from the free surface in microns	Electron reflection pattern				
0.0	No pattern				
0.1	No pattern				
1.0	The 222 arc of the four arc				
	pattern visible				
5.0	The four arc pattern				
25.0	$-\dot{d}o-$				
50.0	-do-				
60.0	Four arc pattern but 222				
	strong and others weak				
75.0	The 222 arc of the four arc				
	pattern only				
80.0	222 arc and elongated spots				
	on normal Cu <sub>2</sub> O rings				
85.0	-do-				
88.0	222 are not visible, rings of				
	Cu and normal Cu <sub>2</sub> O with				
	elongated spots on normal				
	Cu <sub>2</sub> O				
90.0	Rings of Cu and normal Cu <sub>2</sub> O				
,	but with elongated spots on				
	Cu and not on Cu <sub>2</sub> O				

Table 3. The changes of crystal structure with depth for a cuprous oxide rectifier film formed at 1030° C, and slowly cooled. Film thickness about 90 microns. The table gives average values from 7 films.

Arc No.	Intensity	d  in Å observed				le values al Cu <sub>2</sub> O
			h	k	l	d  in A
1	30	2.4 + 0.08	1	1	1	2.47
2	100	$1.26 \pm 0.05$	2	2	2	1.24
3	10	$0.8 \ \pm 0.03$	3	3	3	0.82
4	20	$0.6 \ \ + 0.03$	4	4	4	0.618

Table 4. The intensities and the 'd' spacings of the four arc pattern.

<sup>\*</sup> Fig. 5, 6, 7, 8, 9, 10 on p. 98 a, b.

Nature of the post oxide treatment	$R_1/R_2$	Width of 2nd arc in the 4 arc pattern in mm	Average thickness $\tau$ of a crystallite in A $\tau = \lambda L/W$
Suddenly cooled			
1030°C-200°C/2 min Slowly cooled	1.1	$1.8 \pm 0.1$	$11.7 \pm 0.7$
1030°C-200°C/75 min Very slowly cooled	95	$1.6 \pm 0.1$	$13.1\pm0.8$
$1030^{\circ}\text{C} - 200^{\circ}\text{C}/300 \text{ min}$ Stored for 16 hours at	290	$\textbf{1.4} \pm \textbf{0.1}$	$15.0\pm1.0$
980°C and slowly cooled Stored for 36 hours at	104	$1.5 \pm 0.1$	$14.0 \pm 0.9$
980°C and slowly cooled	25	$1.7 \pm 0.1$	$12.5\pm0.7$

Table 5. The average size of a crystallite in a film formed at  $1030^{\circ}$  C calculated from the formula given by A. R. Stokes and A. K. Wilson, Proc. Camb. Phil. Soc. 38, 313 [1942].

seen (Fig. 4, Ref 2). In this case we do not see any other rings or the arrangement is a single crystal arrangement in two dimensions but not in the third. Such a pseudo single crystal structure or a layer structure tends to exhibit effects of surface reflection (RAETHER 4, DIXIT 5) and also of multiple reflection (EHLERS 6, GÖTTSCHE 7) of the electron beam. In the present case surface reflection makes the 222 ring broad and intense and the multiple reflection alters the intensity of the outer rings 333 and 444. Thus both, the X-ray pictures (directly) and the electron pictures (indirectly) show that the structure of the rectifying crystallites of Cu<sub>2</sub>O is of the zinc blende type. Such a result is not entirely unexpected in the light of the work of Welker 8 and of Hoffmann and Rose 9.

From Fig. 4 we note that at maximum rectification about 1 oxygen atom is associated with about  $120\pm25$  molecules of  $Cu_2O$ . Assuming that these molecules are arranged in a small cube, the size of the cube comes out as 4 cell lengths of  $Cu_2O$  or about 17 Å. This is comparable with the average size of the crystallite deduced from the four arc pattern (Tab. 5). The actual number of oxygen atoms associated with a crystallite will be always integral. If we assume that the average size of our small  $Cu_2O$  crystallites present in the films is 17 Å and if we plot the average number of oxygen atoms

## Mechanism of the formation of the Rectifying Layers

When a block of copper heated to a sufficiently high temperature, is exposed to oxygen at low pressures (partial pressure of oxygen about 0.1 mm of Hg), we observe (Tab. 1) that a sort of instability sets in, in the crystallites of Cu<sub>2</sub>O formed on the surface, either in the form of a change of orientation (up to 550°C) or in the form of an increase in the size of the crystallites (above 550°C). We assume as before 1 that such an instability will enable oxygen atoms or ions to diffuse through the interspaces between the crystallites of Cu<sub>2</sub>O. This diffusion results in a layer of oxygen being formed below these oriented crystals of Cu<sub>2</sub>O. Once such a layer of oxygen is produced it leads to the formation (according to the migration theory of Mott and Cabrera 10) of new crystallites of Cu<sub>2</sub>O below the surface. If these new crystallites grow directly on the oriented crystals, we could expect from the laws of epitaxial growth that they have the same structure and orientation. The new crystals, however, are growing on a thin layer of oxygen formed on the oriented crystals of Cu<sub>2</sub>O. The new crystals, therefore, have the same size, the same orientation, but have a few more oxygen atoms (about 3 per crystallite) virtually incorporated in the 111 system of planes. The 111 system of planes in Cu<sub>2</sub>O includes copper and oxygen atoms in alternate planes and thus permits the inclusion of a few more oxygen or less copper atoms in this system of planes. This inclusion of a few more oxygen atoms in the 111 planes of a Cu<sub>2</sub>O crystal changes its structure to that of the zinc blende type. During the process of annealing the oxygen gas dissolved in the film is gradually ejected out. This reduces the average number of oxygen atoms associated with a crystallite. The association

associated with such a crystallite as a function of its rectification we get a curve very much similar to the curve in Fig. 4. Such a curve shows that maximum rectification occurs when the amount of oxygen associated with a crystallite is about one atom  $(1.0\pm0.2)$ .

<sup>&</sup>lt;sup>4</sup> H. Raether, Z. Phys. 78, 527 [1932].

<sup>&</sup>lt;sup>5</sup> K. R. Dixit, Phil. Mag. 16, 980 [1933].

<sup>&</sup>lt;sup>6</sup> H. Ehlers, Z. Phys. 136, 379 [1953].

<sup>&</sup>lt;sup>7</sup> H. Göttsche, Z. Naturforschg. 11 a, 55 [1956].

<sup>&</sup>lt;sup>8</sup> H. Welker, Z. Naturforschg. **7a**, 744 [1952]; **8a**, 248 [1953].

A. HOFFMANN and F. Rose, Z. Phys. 136, 152 [1953].

<sup>&</sup>lt;sup>10</sup> N. Cabrera and N. F. Mott, Rep. Progr. Phys. Vol. XII, 163, London 1949.

of one oxygen atom (of course as an average) with a crystallite gives maximum rectification. A further reduction in the amount of oxygen reduces rectification, till we reach the stage of zero oxygen. The substance is no longer a rectifier and the crystal structure of  $\text{Cu}_2\text{O}$  (as shown by electrons) reverts back from the zinc blende to the normal pattern. The signs of this reversal of crystal structure are seen in specimens annealed for 36 hours. They show the 4 arc pattern and also some prominent rings of normal  $\text{Cu}_2\text{O}$  viz  $\sqrt{4}$ ,  $\sqrt{8}$  and  $\sqrt{19}$   $\sqrt{20}$ .

The rate of formation of this undergrowth will depend on the velocity of migration of Cu ions (which in its turn depends on the temperature of the copper block) and the velocity of diffusion of oxygen ions (which for a given surface and air pressure will remain constant). At comparatively low temperatures, because of the low velocity of migration of the Cu ions, they may be able to penetrate through a thin layer of undergrowth and approach the oxygen layer but they may not be able to penetrate through a thicker layer. At this stage the Cu ions do not migrate any further, but the

oxygen atoms continue to diffuse through the surface whose thickness has not changed. This results in an accumulation of oxygen below the surface film and make it unstable and peel off. This is what we observe at  $410^{\circ}$  C (Tab. 6). At \*\*  $750^{\circ}$  C the

Temperature of formation °C	Wt. in mg	Thickness in microns
410	1.6	2,6
500	2.3	3.9
550	3.6	6.0
600	5.0	8.3
650	6.8	11.3
700	45.0	75.0
750	86.0	143.3
	(does not peel	
	off)	

Table 6. Weight and thickness of the oxide film (formed at 0.5 mm of Hg air pressure) which peels off.

velocity of migration of Cu ions becomes appreciable, they are practically able to penetrate through all thicknesses of undergrowth normally observed and the surface does not peel off.

One of us (V. V. A.) thanks the National Institute of Sciences of India, for the award of a fellowship, during the course of which this work was done.

<sup>\*\*</sup> It is interesting to note that this is approximately the temperature at which rectification becomes appreciable. Details of this work on peeling off will be published separately.